

**Mail Stop PATENT APPLICATION**

COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

**Customer No. 035811**

Transmitted herewith for filing is the patent application of

Inventor(s): Tetsuo Yamada and Shin-ichi Sakata

For: SIALON-BASED OXYNITRIDE PHOSPHOR, PROCESS FOR ITS PRODUCTION, AND USE THEREOF

Also enclosed are:

- ☒ Application Data Sheet  
☒ 3 Sheets of drawings  
☐ Hard Copy of Sequence Listing with computer readable form on diskette and Statement  
☒ Information Disclosure Statement with Form PTO-1449 and copies of publications  
☒ Recordation Form Cover Sheet - Patents Only and an Assignment of the invention to Ube Industries, Ltd.  
☒ Claim for Priority under 35 U.S.C. §119 with certified copies of JP 2003-029274 and JP 2003-029277  
☒ Postcard and Express Mail Certification

The filing fee has been calculated as shown below:

	NO. OF CLAIMS FILED		NO. OF CLAIMS FROM BASIC FEE	NO. OF EXTRA CLAIMS
TOTAL	32	-	20 =	12
INDEP.		-	3 =	
___ First presentation of multiple dependent claim				

SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
RATE	BASIC FEE \$385.		RATE	BASIC FEE \$770.
x 9=	\$		x18=	\$216.00
x43=	\$		x86=	\$0
+145=	\$		+290=	\$290.00

TOTAL FEE \$\_\_\_ OR \$1,276.00

- ☒ A check in the amount of \$1,276.00 is enclosed to cover the official filing fee for a large entity.
- \_\_\_ A check in the amount of \$\_\_\_ is enclosed to cover the official filing fee for a small entity.
- ☒ A check in the amount of \$40.00 is enclosed to cover the recordal fee.
- \_\_\_ Please charge my Deposit Account No. 50-2719 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ In regard to this communication, the Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR §1.16 and any additional patent application processing fees under 37 CFR §1.17 or credit any overpayment to Deposit Account No. 50-2719. A duplicate copy of this sheet is enclosed.
- ☒ During the pendency of this application, the Commissioner is hereby authorized to charge payment of any filing fees for presentation of extra claims under 37 CFR §1.16 and any patent application processing fees under 37 CFR §1.17 or credit any overpayment to Deposit Account No. 50-2719. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



T. Daniel Christenbury, Reg. No. 31,750  
 Attorney for Applicants

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : Customer No.: 035811  
Examiner :  
Serial No. :  
Filed : Herewith  
Inventors : Tetsuo Yamada Docket No.: 1034-04  
: Shin-ichi Sakata  
Title : SIALON-BASED OXYNITRIDE PHOSPHOR, Confirmation No.:  
: PROCESS FOR ITS PRODUCTION, AND  
: USE THEREOF

Dated: February 3, 2004

**Mail Stop PATENT APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**EXPRESS MAIL CERTIFICATION**

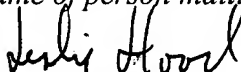
**37 C.F.R. §1.10**

Express Mail Label No.: EV 383520971 US  
Date of Deposit: February 3, 2004  
Description of Contents: Postcard, \$1,276.00 Check, \$40.00 Check, Application Transmittal Letter, in duplicate, Application Data Sheet (1 page), Specification (48 pages), with Claims (5 pages) and Abstract (1 page), Drawings (3 sheets), executed Declaration and Power of Attorney for Patent Application, Recordation Form Cover Sheet – Cover Sheet, executed Assignment, Information Disclosure Statement, Form PTO-1449 w/copies of publications, and Claim for Priority Under 35 U.S.C. §119 w/certified copies of JP 2003-029274 and JP 2003-029277.

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to **Mail Stop Patent Application**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Leslie Hood

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